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Thomas L. Evans
Thomas L. Evans

Atty. Docket No.
005313.00001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

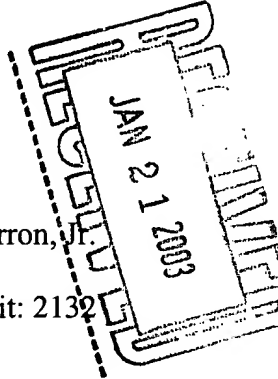
Marc VAN HEYNINGEN

U.S. Pat. App. No.: 09/782,593

Filed: February 12, 2001

Examiner: Gilberto Barron, Jr.

Group Art Unit: 2132



For: METHOD AND APPARATUS FOR PROVIDING SECURE STREAMING DATA
TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JAN 16 2003
Technology Center 2100

Sir:

Pursuant to his duty of disclosure under 37 C.F.R. §1.56, Applicant brings the following documents to the attention of the Examiner in the above-identified patent application:

- (1) U.S. Patent No. 5,325,433 to Torii et al., issued June 28, 1994;
- (2) U.S. Patent No. 5,657,390 to Elgamal et al., issued August 12, 1997;
- (3) U.S. Patent No. 5,754,651 to Blatter et al., issued May 19, 1998;
- (4) U.S. Patent No. 6,070,245 to Murphy, Jr. et al., issued May 30, 2000; and
- (5) U.S. Patent No. 6,141,423 to Fischer, issued October 31, 2000.

EL749076499US

A PTO-1449 form is included herewith listing these documents, and a copy of each of these documents is attached. These documents were cited in an International Search Report for a related PCT application, a copy of which is also attached.

The International Search Report is in English. Therefore, Applicants respectfully urge that further comment is unnecessary in accordance with 37 C.F.R. 1.98(a)(3). However, Applicants respectfully point out that the International Search Report classifies these documents in categories A, X and Y.

A first Official Action has not yet issued for this application. Therefore, it is respectfully urged that no fees are required for the Examiner's consideration of the documents listed in this Information Disclosure Statement.

Accordingly, it is respectfully requested that the documents listed above be considered by the Examiner in the above-identified patent application and that they be made official of record therein. It is further requested that a listing of the same appear on the face of any patent that may issue from this application.

Respectfully submitted,

BANNER & WITCOFF, LTD.

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January 13, 2003



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TRANSMISSION FACILITIES USING UNRELIABLE PROTOCOLS

STATEMENT UNDER 37 C.F.R. §1.704(d)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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In accordance with 37 C.F.R. 1.704(d), Applicants hereby state that each item of information contained in the attached Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

Respectfully submitted,

BANNER & WITCOFF, LTD.

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